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PTO/SB/21 (AW)(03-03)

Approved for use through 04/30/2003. OMB 0651-0031

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TRANSMITTAL FORM

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Total Number of Pages in This Submission 45

Application Number	09/965,966
Filing Date	September 28, 2001
First Named Inventor	Sawako Usuki et al.
Art Unit	2681
Examiner Name	
Attorney Docket No.	YAO-4347US

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ENCLOSURES (Check all that apply)

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Incomplete Application

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Brief)
<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Other Enclosure(s) (please
identify below):

(4) References; European
Search Report; Return Postcard |
|---|--|--|

Remarks:

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm or Individual	Daniel N. Calder	Registration No. (Attorney/Agent)	27,424
Signature			
Date	June 27, 2003		

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No: 09/965,966
Applicants: Sawako Usuki et al.
Filed: September 28, 2001
Title: ELECTROMAGNETIC TRANSDUCER AND PORTABLE
COMMUNICATION DEVICE
TC/A.U.: 2681
Examiner:

INFORMATION DISCLOSURE STATEMENT

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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (R&P) submitted herewith. A copy of each of the listed references is also enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

References JP 57-154998, JP 58-171198 and JP 11-55794 are not in the English language, but an English abstract thereof is attached to the copy of the reference submitted herewith.

This Information Disclosure Statement is being filed before mailing of the first Official Action. No first Official Action has yet been received and it is presumed that none has yet been mailed. No fee or statement is required. 37 C.F.R. § 1.97(b).

Respectfully submitted,

Daniel N. Calder

Daniel N. Calder
Attorney for Applicants

DNC/imc

Enclosures: PTO Form 1449
(4) References
European Search Report
Transmittal Form

Dated: June 27, 2003

P.O. Box 980
Valley Forge, PA 19482-0980
(610) 407-0700

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Mis M. Cooper



PTO/SB/08A (AW 4/25/03) (04-03)

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Substitute for Form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 1 of 2

Complete if Known

Application Number	09/965,966
Filing Date	September 28, 2001
First Named Inventor	Sawako Usuki et al.
Art Unit	2681
Examiner Name	
Attorney Docket No.	YAO-4347US

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U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
1	JP 57-154998	(w/English Abstract)	9/24/82	Horikoshi Ichiro		<input type="checkbox"/>
2	JP 58-171198	(w/English Abstract)	10/7/83	Murakami Fumikazu		<input type="checkbox"/>
3	JP 11-55794	(w/English Abstract)	2/26/99	Uenosono Kouji		<input type="checkbox"/>
4	WO 00/32013		6/2/00	Dong Yoo et al.		<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

1Applicant's unique citation designation number (optional).

²See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

³Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

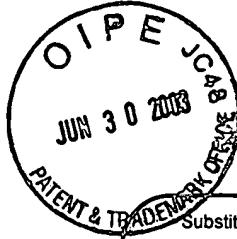
***For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.**

5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 If possible

6 Applicant is to place a check mark here if English language translation is attached.
Collection of information required by 44 CFR 35.7 (e) (2), 35.7 (f) (2), 35.7 (g) (2)

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PTO/SB/08B (AW 4/25/03) (04-03)

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SHEET 2 of 2

Complete If Known

Application Number 09/965,968

Filing Date September 28, 2001

First Named Inventor Sawaka Usuki et al.

Art Unit | 268

Examiner Name

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NON-PATENT LITERATURE DOCUMENTS

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